

# On the faithfulness of molecular mechanics representations of proteins towards quantum-mechanical energy surfaces

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## 1 Abstract

Force fields based on molecular mechanics (MM) are the main computational tool to study the relationship between protein structure and function at the molecular level. To validate the quality of such force fields, high-level quantum-mechanical (QM) data are employed to test their capability to reproduce the features of all major conformational substates of a series of blocked amino acids. The phase-space overlap between MM and QM is quantified in terms of the average structural reorganization energies over all energy minima. Here, the structural reorganization energy is the MM potential-energy difference between the structure of the respective QM energy minimum and the structure of the closest MM energy minimum. Thus, it serves as a measure for the relative probability of visiting the QM minimum during an MM simulation. We evaluate variants of the AMBER, CHARMM, GROMOS, and OPLS biomolecular force fields. In addition, the two blocked amino acids alanine and serine are used to demonstrate the dependence of the measured agreement on the QM method, the phase, and the conformational preferences. Blocked serine serves as an example to discuss possible improvements of the force fields, such as including polarization with Drude particles, or using tailored force fields. The results show that none of the evaluated force fields satisfactorily reproduces all energy minima. By decomposing the average structural reorganization energies in terms of individual energy terms, we can further assess the individual weaknesses of the parametrization strategies of each force field. The dominant problem for most force fields appears to be the van der Waals parameters, followed to a lesser degree by dihedral and bonded terms. Our results show that performing a simple

QM energy optimization from an MM-optimized structure can be a simple first test of the validity of a force field for a particular target molecule.

## 2 Introduction

Computer simulations have become an indispensable tool to study processes involving proteins, nucleic acids, lipid membranes, and drug-like molecules. Although these systems are very different, all simulations share some common features and challenges. The two fundamental prerequisites to correctly capture the driving free energies of a system are the *accurate description of inter- and intramolecular interactions*, and the *adequate sampling of all relevant conformations*.<sup>1-3</sup> These two requirements are in conflict with each other, since a more sophisticated description of molecular interactions involves higher computational costs, which limits the capability to search through a multitude of different possible conformations. In terms of the balance between these two requirements, one can distinguish between classical force fields based on molecular mechanics (MM) and quantum-mechanical methods (QM).

MM force fields are fast and well suited for sampling, but involve many approximations that limit their accuracy (see, e.g., Ref. 4 for a review). Their potential-energy function  $U$  is a sum of simple terms.<sup>5</sup> The molecular structure is maintained by harmonic functions for bond stretching, bond-angle bending, and out-of-plane distortions (improper dihedrals), while Fourier expansions are used for the dihedral-angle torsions. Non-bonded interactions include the van der Waals interactions, which are modelled by a Lennard-Jones potential, and electrostatic interactions based on point charges. Thus, electronic polarization is usually not modelled explicitly, and chemical bonds cannot be formed or broken. QM approaches<sup>6-14</sup> are based on molecular orbital calculations and combine a heavy computational burden with highly accurate interactions. They capture the correct physical behaviour, but are limited in terms of the size and time scale of the processes that can be studied (usually only hundreds of atoms on the time scale of picoseconds).

To resolve the conflict between the computational costs of sampling on the one hand and physical faithfulness on the other hand, it is possible to resort to a multi-scale approach (see, e.g., Refs. 15-27) that employs an MM representation to perform the sampling, followed by post-processing of the MM trajectory with a QM Hamiltonian to obtain the correct ensemble (Figure 1a). This approach has led to significantly improved results in the recent past,<sup>28-30</sup> however, there are several cases where the multi-scale approach fails to converge. This can be explained by the disparity of the respective MM and QM potential-energy surfaces. If the MM potential-energy surface is not representative for the QM one, most sampled conformations will reside in high energy areas of phase space, and, consequently, only marginally contribute to the final result.

Since the phase-space overlap between MM and QM can only be determined by

simulating the whole phase space, it is difficult to predict problematic cases *a priori*. However, by using linear response theory,<sup>31–34</sup> and taking loans from Marcus theory,<sup>35</sup> it is possible to roughly estimate the expected phase-space overlap. The variance of a free-energy result based on the Zwanzig equation<sup>36</sup> is proportional to the variance of the probability distribution of the underlying potential-energy differences between the two end states.<sup>37–40</sup> For two shifted harmonic oscillators, the potential-energy difference probability distribution is a Gaussian with a width that is directly proportional to the structural reorganization energy ( $\Delta U_{\text{reorg}}$ , c.f. Figure 1b). Here, the structural reorganization energy is defined as the MM potential-energy difference between the structure of the respective QM energy minimum and the structure of the closest MM energy minimum.  $\Delta U_{\text{reorg}}$  can be obtained by a simple MM energy minimization starting from the QM-optimized structure. The expected variance of the free-energy estimate then becomes

$$\sigma_{\Delta G}^2 \approx \frac{e^{\frac{2}{k_B T} \Delta U_{\text{reorg}}} - 1}{n}, \quad (1)$$

where  $k_B$  is the Boltzmann constant,  $T$  the absolute temperature, and  $n$  represents the number of independent potential-energy difference samples. A thorough analysis of Equation (1) is provided in Ref. 41, yielding  $R^2$  between 0.66 and 0.97 for several series of free-energy simulations. Notably, Equation (1) only holds if there is one dominant energy well in the system. In principle, if there are multiple low-lying energy minima, Equation (1) has to be solved for each well and weighted according to its Boltzmann probability to predict the variance of a free-energy estimate. However, Boltzmann weighting based on gas-phase energies can neglect rotational states that might become relevant in the folded protein or in a binding event. To account for such eventualities, we use the unweighted average structural reorganization energies, since we are primarily interested in using them as a measure for the difficulty for the MM representation to sample all correct QM minima.

Here, we employ the average structural reorganization energies from a series of conformational states as a measure for the faithfulness of the MM representation towards a QM energy surface. Given the eminent importance of proteins in biomedical applications, we focus on average structural reorganization energies of blocked amino acids. We first illustrate the faithfulness for blocked alanine and serine, using the CHARMM36 force field<sup>42</sup> as the MM representation, and BLYP/6-31G(d),<sup>43–45</sup> as well as M06-2X/6-31G(d),<sup>46</sup> as the QM target Hamiltonians. This demonstrates the variability of the structural reorganization energies with respect to the target QM method and the secondary structure. We also examine the differences between conformations in the gas phase and in aqueous solution. In the second step, we ask the question which MM force field among AMBER,<sup>47,48</sup> CHARMM,<sup>42,49</sup> GROMOS,<sup>50,51</sup> and OPLS<sup>52</sup> more closely reproduces all QM energy minima occurring in 14 neutral amino acids according to the refined YMPJ conformer database.<sup>53,54</sup> Blocked serine is used as an example to

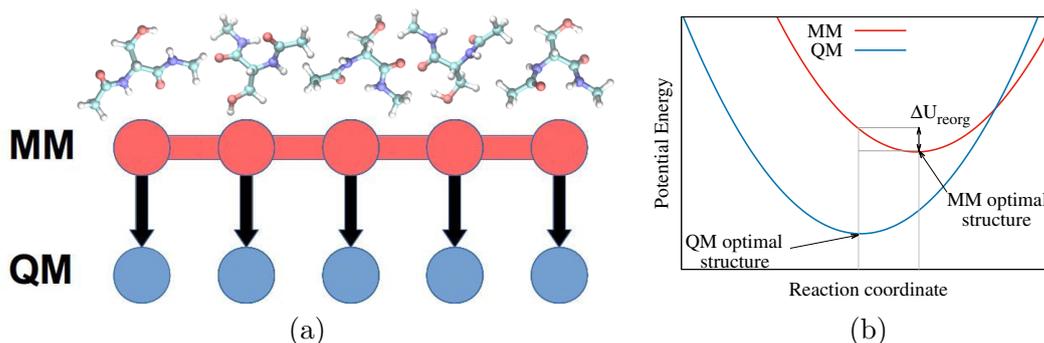


Figure 1: (a) Snapshots from free-energy calculations based on molecular mechanics (MM) simulations can be post-processed in a highly parallel fashion with quantum-mechanical (QM) potential-energy evaluations to obtain QM-corrected free-energy differences. Each arrow represents an alchemical transformation from the MM Hamiltonian to the QM Hamiltonian; (b) The alchemical transformation at each snapshot converts the MM potential-energy function (red) to the QM potential (blue). Depending on the position along the one-dimensional reaction coordinate that connects the two end states, different potential-energy differences between MM and QM can be obtained. A simple metric for the convergence of free-energy calculations is the structural reorganization energy ( $\Delta U_{\text{reorg}}$ ).<sup>41</sup> It is characterized by the MM potential-energy difference between the QM optimal structure and the MM optimal structure. Thus, it directly measures the energetic costs for the MM force field to sample the correct QM structure.  $\Delta U_{\text{reorg}}$  is also related to the variance of the potential-energy difference distribution, which determines the variance of the free-energy estimate.

discuss possible improvements by the CHARMM polarizable force field,<sup>61,62,83</sup> or using tailored force fields based on QM-optimizations.<sup>63</sup> In the following, we first discuss the methodological details, followed by presenting the results and our conclusions.

## 3 Methods

### 3.1 Alanine and Serine

The MM calculations of N-acetyl-alanine-methylamide and N-acetyl-serine-methylamide were carried out with the CHARMM simulation package,<sup>55,56</sup> and QM/MM calculations were conducted with Q-Chem,<sup>6</sup> using the CHARMM/Q-Chem interface.<sup>57</sup> The initial backbone conformations of N-acetyl-alanine-methylamide, and N-acetyl-serine-methylamide were generated by using harmonic restraints with a force constant of 418  $\text{kJ}\text{\AA}^{-2}$ , with equilibrium torsion angles of  $\phi = -135^\circ, \psi = 135^\circ$  for the  $\beta$ -sheet structure,  $\phi = -60^\circ, \psi = 150^\circ$  for the *PP2*-helix,  $\phi = -60^\circ, \psi = -40^\circ$  for the  $\alpha$ -helix, and  $\phi = 60^\circ, \psi = 40^\circ$  for the left-handed helix with 30 steps of steepest descent and 150 steps of Adopted Basis Newton-Raphson (ABNR) energy minimization. In the gas phase, the QM-optimized structures were generated with 500 steps of ABNR energy minimization. For the determination of the MM-optimized structure, the QM-optimized structures were minimized again for 500 steps of ABNR energy minimization with the

CHARMM36 force field. In the gas phase, only one structure was employed to determine  $\overline{\Delta U}_{\text{reorg}}$ . For the structures in aqueous solution, 1683 TIP3P water molecules were added and the system was simulated for 0.5 ns with constant pressure and a time step of 1 fs. Subsequently, eight 0.1 ns simulations with different initial velocities were used to generate different solvent structures. The structural reorganization energies for aqueous solution listed in Table 1 are averages of energy minimizations based on those eight different solvent structures and were performed while keeping the water coordinates fixed. The QM/MM-optimized structures in aqueous solution were generated with 500 steps of ABNR energy minimization while keeping the surrounding MM water molecules frozen. The solute was treated quantum-mechanically, and electrostatic embedding was used for the QM/MM solute-solvent interactions. The QM/MM $\rightarrow$ QM/MM structural reorganization energies in aqueous solution between M06-2X/6-31G(d) and BLYP/6-31G(d) were calculated by performing 250 steps of ABNR energy minimization with BLYP/6-31G(d), starting from a structure that was previously optimized with M06-2X/6-31G(d). The QM structural reorganization energies in the gas phase between M06-2X/6-31G(d) and BLYP/6-31G(d) were calculated by performing 150 steps of ABNR energy minimization.

### 3.2 Energy minima of the 14 neutral amino acids

Based on the structures of the YMPJ conformer database<sup>54</sup> and the refinements from Ref. 53, all energy minima of the N-acetyl-X-methylamide versions of the 14 neutral amino acids Ala ( 10 minima ), Asn ( 12 minima ), Cys ( 23 minima ), Gln ( 20 minima ), Gly ( 8 minima ), Ile ( 24 minima ), Leu ( 26 minima ), Met ( 56 minima ), Phe ( 26 minima ), Pro ( 5 minima ), Ser ( 23 minima ), Thr ( 17 minima ), Tyr ( 16 minima ), and Val ( 14 minima ) were evaluated. The conformations were generated with the MP2/cc-pVTZ level of theory.<sup>58,59</sup> The energy minimizations for the CHARMM, AMBER, and OPLS force fields were performed with the CHARMM simulation package, using the respective natural constants. This procedure ensures that the starting coordinates maintained a precision of  $10^{-10}$  Å (e.g., the pdb format only supports a precision of  $10^{-3}$  Å, which can lead to artefacts in the determination of the structural reorganization energies). The energy minimizations involved 100 steps of steepest descent, followed by up to 10,000 steps of ABNR energy minimization. For the GROMOS 54A7 force field,<sup>50,51</sup> the energy minimization was performed with the GROMOS simulation package,<sup>60</sup> using up to 10,000 steps of steepest descent for the energy minimization.

### 3.3 Possible improvement strategies

Analogously to the previous subsection, the CHARMM Drude polarizable force field<sup>61,62</sup> was employed to determine the structural reorganization energies of blocked serine. To illustrate the effect of adjusting the bonded parameters, tailored force field parameters

were generated from the global energy minimum structure of blocked serine in the YMPJ conformer database (xab.xyz). Every atom was assigned to a unique atom type to allow unique equilibrium bond length and angle values, and the values were populated with QMFIx command in the FREN module of CHARMM.<sup>63</sup> Charge and Lennard–Jones parameters were retained from the original parametrization. The MM→QM  $\Delta U_{\text{reorg}}$  values with the OM2 semi-empirical method<sup>64,65</sup> were calculated by starting from the MM-optimized structure and performing an energy minimization with the MNDO program.<sup>13</sup>

## 4 Results and Discussion

### 4.1 Dependence on the QM method and the solvent environment

Before comparing the faithfulness of different MM force fields to a QM Hamiltonian, it is indispensable to point out the dependence of the structural reorganization energies on the target QM method, as well as the solvent environment. Different QM methods can lead to a variety of rankings in terms of the relative energies of conformers.<sup>53,54,66</sup> To evaluate those aspects, we resort to the blocked amino acids alanine and serine. The solvent affinity of blocked serine strongly depends on the backbone conformation, due to hydrogen bond formation between the peptide groups of the backbone and the side-chain hydroxyl group (the so-called “self-solvation” effect).<sup>67–69</sup> To properly account for this effect in a simulation, it is necessary to consider all relevant backbone geometries corresponding to  $\beta$ -sheet, *PP2*-helix,  $\alpha$ -helix, and left-handed helix structures.

The two target QM Hamiltonians, BLYP/6-31G(d) and M06-2X/6-31G(d), were chosen because they yielded very different QM/MM hydration free energies in previous studies.<sup>28,63,70,71</sup> The average deviation of the hydration free energies from BLYP/6-31G(d)/TIP3P and M06-2X/6-31G(d)/TIP3P was 23%, while the average deviation of M06-2X/6-31G(d)/TIP3P hydration free energies from the MP2/aug-cc-pVDZ/TIP3P results was only 2%.<sup>63</sup> Thus, BLYP/6-31G(d) and M06-2X/6-31G(d) represent two opposite extremes among the considered DFT methods in terms of their solvent affinity, with M06-2X/6-31G(d) being significantly more hydrophilic than BLYP/6-31G(d) or the experimental data. This was rationalized in terms of their ratios of Hartree-Fock exchange, which leads to different levels of charge separation, and also different bond lengths.<sup>70</sup> For the  $4 \times 8$  structures in aqueous solution, the average structural reorganization energies between M06-2X/6-31G(d) and BLYP/6-31G(d) amount to  $10.9 \pm 0.8 \text{ kJ mol}^{-1}$  for alanine, and  $12.1 \pm 0.7 \text{ kJ mol}^{-1}$  for serine. The low standard deviations indicate that the structural reorganization energies between the two QM methods are almost independent of the surrounding water structure or the backbone conformation. In the gas phase, the average structural reorganization energies between M06-2X/6-31G(d) and BLYP/6-31G(d) amount to  $12.1 \pm 0.8 \text{ kJ mol}^{-1}$  for alanine, and

$13.6 \pm 0.3 \text{ kJ mol}^{-1}$  for serine.

Table 1: Average structural reorganization energies  $\overline{\Delta U}_{\text{reorg}}$  for blocked alanine and serine, using the CHARMM36 force field, and including the contributions from different MM energy terms. Two target QM Hamiltonians are used (BLYP/6-31G(d) and M06-2X/6-31G(d)), and each comparison was performed once in the gas phase and once in aqueous solution using QM/MM with TIP3P water. Four different backbone conformations are considered:  $\beta$ -sheet,  $PP2$ -helix,  $\alpha$ -helix and left-handed helix (left-h. helix). Due to the omission of some energy terms in this Table (e.g., dihedral and CMAP terms), the contributions do not necessarily add up to 100%. All reorganization energies  $\overline{\Delta U}_{\text{reorg}}$  are in  $\text{kJ mol}^{-1}$ .

Structure	$\overline{\Delta U}_{\text{reorg}}$	Alanine				Serine				
		Bond <sup>a</sup>	Angle <sup>b</sup>	Elec <sup>c</sup>	vdW <sup>d</sup>	$\overline{\Delta U}_{\text{reorg}}$	Bond <sup>a</sup>	Angle <sup>b</sup>	Elec <sup>c</sup>	vdW <sup>d</sup>
<b>Gas phase BLYP/6-31G(d)</b>										
$\beta$ -sheet	22.9	48%	7%	11%	2%	31.2	54%	15%	8%	4%
$PP2$ -helix	26.6	74%	4%	10%	2%	24.8	76%	18%	-6%	3%
$\alpha$ -helix	28.2	67%	6%	10%	4%	27.4	68%	17%	7%	7%
left-h. helix	28.2	57%	13%	-3%	6%	33.9	55%	4%	-9%	5%
<b>Gas phase M06-2X/6-31G(d)</b>										
$\beta$ -sheet	15.9	27%	2%	9%	9%	28.1	25%	11%	6%	27%
$PP2$ -helix	18.4	53%	-9%	26%	18%	16.4	43%	2%	10%	31%
$\alpha$ -helix	25.2	61%	13%	6%	40%	16.2	43%	3%	10%	30%
left-h. helix	14.7	24%	1%	1%	13%	33.7	21%	-6%	-5%	42%
<b>Aqueous phase BLYP/6-31G(d)</b>										
$\beta$ -sheet	22.1	63%	-6%	1%	17%	26.6	68%	7%	-12%	27%
$PP2$ -helix	24.3	56%	-12%	-3%	30%	28.2	60%	0%	-14%	31%
$\alpha$ -helix	24.5	60%	-4%	-12%	24%	27.8	64%	7%	-18%	19%
left-h. helix	24.9	71%	-20%	-5%	37%	26.0	73%	-10%	-12%	36%
<b>Aqueous phase M06-2X/6-31G(d)</b>										
$\beta$ -sheet	17.1	41%	-10%	11%	34%	19.6	36%	6%	8%	39%
$PP2$ -helix	23.5	27%	-16%	7%	52%	28.0	22%	-1%	2%	55%
$\alpha$ -helix	22.0	31%	-10%	-1%	50%	24.1	26%	5%	3%	37%
left-h. helix	26.0	33%	-25%	8%	71%	26.2	26%	-14%	9%	66%

<sup>a</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on mismatches of the bond lengths in % <sup>b</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on mismatches of the bond angles in % <sup>c</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on electrostatic interactions in % <sup>d</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on Lennard-Jones interactions in %

The corresponding average structural reorganization energies  $\overline{\Delta U}_{\text{reorg}}$  for the CHARMM36 force field relative to the BLYP/6-31G(d) and M06-2X/6-31G(d) DFT methods are shown in Table 1. The total average structural reorganization energy between the QM structures and the MM structures amounts to  $24.5 \text{ kJ mol}^{-1}$  for blocked alanine and serine. This is twice as high as the total average QM structural reorganization energy between BLYP/6-31G(d) and M06-2X/6-31G(d) of  $12.2 \text{ kJ mol}^{-1}$ . The average MM structural reorganization energy corresponds to ca.  $10 k_B T$  at room temperature, which would lead to exceedingly high variances in free-energy calculations according to Equation (1).<sup>41</sup> In addition, the total average structural reorganization energy is higher for BLYP ( $26.7 \text{ kJ mol}^{-1}$ ) than for M06-2X ( $22.2 \text{ kJ mol}^{-1}$ ), which indicates that the CHARMM36 force field is more compatible with M06-2X. Moreover, the total average structural reorganization energy in aqueous solution ( $24.4 \text{ kJ mol}^{-1}$ ) is about the same as in the gas phase ( $24.5 \text{ kJ mol}^{-1}$ ). This either indicates cancellation of errors

between the phases, or that the main source of errors is not affected by the change of the electrostatic environment.

Especially in the gas phase one can observe a large variation of the reorganization energies in response to conformational changes. The standard deviations of the average  $\Delta U_{\text{reorg}}$  over all four secondary structures are 2.5 and 4.0 kJ mol<sup>-1</sup> for alanine and serine with BLYP/6-31G(d), and 4.7 and 8.7 kJ mol<sup>-1</sup> for M06-2X/6-31G(d). This indicates that the errors of BLYP/6-31G(d) are more systematic. A similar trend can be observed in aqueous solution, where the standard deviations of the  $\overline{\Delta U}_{\text{reorg}}$  values are 1.3 and 1.0 kJ mol<sup>-1</sup> for alanine and serine with BLYP/6-31G(d), and 3.7 and 3.6 kJ mol<sup>-1</sup> for M06-2X/6-31G(d). Thus the variations in aqueous solution are smaller than in the gas phase. However, the variations are significantly larger than the standard deviations of the QM structural reorganization energies (0.3 to 0.8 kJ mol<sup>-1</sup>). This is probably an effect of the Boltzmann weighting in the parametrization procedure of the MM force field, as low-energy secondary structures play a more prominent role in protein dynamics.

Table 1 also lists the contributions of the individual energy terms of the force field to the average structural reorganization energies in percent. Positive components indicate a destabilization of the QM structure, while negative values imply a stabilization of the optimal QM geometry within the MM force field. However, because of the coupling between the different energy terms, a rigorous decomposition is not possible.<sup>72,73</sup> A surprising result in both the gas phase and aqueous solution is the dominance of discrepancies due to the bonded structure of the MM force field (on average 49%), rather than differences that arise from non-bonded interactions (on average 2% for electrostatics and 27% for van der Waals interactions). On average, each bond length of the MM-optimized structure deviates by about 0.018 Å from the QM-optimized structure, which adds to the observed discrepancies. This holds true for several backbone conformations and both evaluated QM methods. Notably, the optimal bond length depends on the exact details of the QM method, such as the amount of Hartree-Fock exchange in Density Functional Theory (DFT).

These findings are a clear indicator that the currently employed approach for bond length and bond angle parametrization is inadequate for multi-scale free energy calculations (at least for the CHARMM36 force field). The changes of the bonded structure due to the response to the local environment are not adequately described in this formalism. For example, a hydroxyl bond stretches as it is transferred from a hydrophobic environment to aqueous solution, in order to increase the interactions with the solvent.<sup>74</sup> Such deviations of the MM force field from the target QM function can be corrected by adjusting the bonded parameters based on the target QM function and the environment under consideration in a custom-fit approach for each individual bond. Such tailored force fields have been referred to as MM' states,<sup>63</sup> and have recently been shown to significantly boost the convergence of multi-scale free-energy calculations by Giese and

York,<sup>75</sup> as well as by Hudson et al.<sup>76</sup> One major challenge for tailored MM' force fields are the structural changes between different phases. This entails that currently employed thermodynamic cycles should be complemented with legs that consider the changes of the bonded structure between, e.g., the gas phase and the aqueous phase. This can be straightforwardly implemented by employing a free-energy calculation or analytical corrections for the changes of the bonded terms<sup>74,77-79</sup> between the dummy end states of the different legs in post-processing.

## 4.2 Comparison of different force fields

Table 2 shows the average structural reorganization energies  $\overline{\Delta U}_{\text{reorg}}$  for different force fields over all energy minima of the 14 neutral blocked amino acids relative to the MP2/cc-pVTZ-optimized structures of the refined YMPJ conformer database.<sup>53,54</sup> The difference between  $(\overline{\Delta U}_{\text{reorg}})^2$  and  $\left(\sqrt{\overline{\Delta U^2}_{\text{reorg}}}\right)^2$  can serve as a measure for the variance of the reorganization energy. The force fields are listed in alphabetical order. Table 2 also includes the average contributions of the individual MM energy terms, such as bond stretching (Bond), angle bending (Angle), dihedral angles (Dihe), improper dihedrals (Impr), van der Waals interactions (vdW), and electrostatic interactions (Elec). The CHARMM36 force field also incorporates a cross-term correction potential for the backbone dihedrals (CMAP).

The best performance of an all atom force field in terms of average structural reorganization energies was attained with AMBER ff94 ( $\overline{\Delta U}_{\text{reorg}} = 31.6 \text{ kJ mol}^{-1}$ ). Based on Equation (1), this would lead to a variance of ca.  $10^5 \text{ kJ mol}^{-1}$  in a free-energy calculation at 298 K using 1 million independent data points. This is unacceptably high. The AMBER ff14SB force field performs similarly, but exhibits a higher  $\overline{\Delta U}_{\text{reorg}}$  of  $33.1 \text{ kJ mol}^{-1}$ . The contributions from the individual energy terms for the AMBER ff94 and AMBER ff14SB force fields indicate that the main reason for the observed discrepancies between MM and QM lies in the van der Waals parameters (42 and 39%, respectively), followed by dihedral terms (21 and 24%, respectively) and electrostatic charges (27 and 20%, respectively). The bond, angle and improper dihedral parameters appear to be well parametrized compared to the QM method, as they only contribute with up to 6% to the average structural reorganization energy. However, it should be noted that all force-field parameters are coupled to each other, and, therefore, cannot be optimized independently. The individual contributions are merely an indicator for the need of improvement.

The worst performance in terms of average structural reorganization energies is observed for the CHARMM22 force field with a  $\overline{\Delta U}_{\text{reorg}}$  of  $40.5 \text{ kJ mol}^{-1}$ . The CHARMM36 force field performs slightly better with a  $\overline{\Delta U}_{\text{reorg}}$  of  $38.0 \text{ kJ mol}^{-1}$ , which is also in line with the range of structural reorganization energies observed in Table 1 of the previous section. Also in the CHARMM force fields the van der Waals parameters

Table 2: Average structural reorganization energies  $\overline{\Delta U}_{\text{reorg}}$  for the 14 neutral blocked amino acids in the gas phase with different force fields, including root mean squared structural reorganization energies  $\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$  and the average contributions from different MM energy terms. The  $\Delta U_{\text{reorg}}$  are relative to the MP2/cc-pVTZ-optimized structures of the YMPJ conformer database.<sup>53,54</sup>

<b>AMBER ff94</b>		<b>AMBER ff14SB</b>	
$\overline{\Delta U}_{\text{reorg}}$	31.6 kJ mol <sup>-1</sup>	$\overline{\Delta U}_{\text{reorg}}$	33.1 kJ mol <sup>-1</sup>
$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	35.7 kJ mol <sup>-1</sup>	$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	35.7 kJ mol <sup>-1</sup>
Bond <sup>a</sup>	5 %	Bond <sup>a</sup>	5 %
Angle <sup>b</sup>	-1 %	Angle <sup>b</sup>	6 %
Dihe <sup>c</sup>	21 %	Dihe <sup>c</sup>	24 %
Impr <sup>d</sup>	5 %	Impr <sup>d</sup>	6 %
vdW <sup>e</sup>	42 %	vdW <sup>e</sup>	39 %
Elec <sup>f</sup>	27 %	Elec <sup>f</sup>	20 %
<b>CHARMM22</b>		<b>CHARMM36</b>	
$\overline{\Delta U}_{\text{reorg}}$	40.5 kJ mol <sup>-1</sup>	$\overline{\Delta U}_{\text{reorg}}$	38.0 kJ mol <sup>-1</sup>
$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	42.8 kJ mol <sup>-1</sup>	$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	39.3 kJ mol <sup>-1</sup>
Bond <sup>a</sup>	23 %	Bond <sup>a</sup>	24 %
Angle <sup>b</sup>	5 %	Angle <sup>b</sup>	4 %
Dihedral <sup>c</sup>	19 %	Dihedral <sup>c</sup>	16 %
Impr <sup>d</sup>	12 %	Impr <sup>d</sup>	13 %
vdW <sup>e</sup>	32 %	vdW <sup>e</sup>	35 %
Elec <sup>f</sup>	9 %	Elec <sup>f</sup>	5 %
		CMAP <sup>g</sup>	3 %
<b>GROMOS 54a7</b>		<b>OPLS-AA</b>	
$\overline{\Delta U}_{\text{reorg}}$	30.5 kJ mol <sup>-1</sup>	$\overline{\Delta U}_{\text{reorg}}$	35.5 kJ mol <sup>-1</sup>
$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	42.1 kJ mol <sup>-1</sup>	$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	38.4 kJ mol <sup>-1</sup>
Bond <sup>a</sup>	-29 %	Bond <sup>a</sup>	7 %
Angle <sup>b</sup>	-5 %	Angle <sup>b</sup>	4 %
Dihedral <sup>c</sup>	15 %	Dihedral <sup>c</sup>	18 %
Impr <sup>d</sup>	14 %	Impr <sup>d</sup>	5 %
vdW <sup>e</sup>	74 %	vdW <sup>e</sup>	64 %
Elec <sup>f</sup>	32 %	Elec <sup>f</sup>	3 %

<sup>a</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on mismatches of the bond lengths in %, <sup>b</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on mismatches of the bond angles in %, <sup>c</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on dihedral angles in %, <sup>d</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on improper dihedrals in %, <sup>e</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on Lennard-Jones interactions in %, <sup>f</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on electrostatic interactions in %, <sup>g</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on cross-term CMAP potential in %.

dominate the reorganization energies with contributions of 32 and 35%. However, this is the smallest contribution from the van der Waals parameters in the whole data set. In contrast to the other force fields, the contribution from the bond terms is significant with 23 and 24%. This is somewhat surprising, given that the bond lengths can be determined experimentally and are probably the easiest parameters to adjust. However, there seems to be no clear systematic difference between the bonded parameters of CHARMM22 and, e.g., OPLS-AA. The average difference between the equilibrium

bond lengths of alanine is ca. 0.004 Å, and the mean absolute difference is 0.018 Å. The largest differences are observed for carbon-carbon bonds (deviations of ca. -0.03 Å), followed by the carbon-hydrogen bonds (deviations of ca. +0.02 Å). The force constants tend to be weaker in CHARMM22 (on average -9%). Other contributions include the dihedral terms (19 and 16%) and improper dihedrals (12 and 13%). In CHARMM36, the CMAP backbone correction seems to have little impact on the deviations arising from the dihedral terms. This indicates that the main deviations arise from the side chain dihedral parameters, which are unaffected by the CMAP potential. Interestingly, while the bonded terms lead to significant deviations, the non-bonded van der Waals and electrostatic parameters taken together are the most faithful in the whole set.

The GROMOS 54a7 united atom force field exhibits the lowest  $\overline{\Delta U}_{\text{reorg}}$  with 31 kJ mol<sup>-1</sup>, but also the highest variance with an  $\sqrt{\Delta U^2_{\text{reorg}}}$  of 42.1 kJ mol<sup>-1</sup>. The high  $\sqrt{\Delta U^2_{\text{reorg}}}$  reflects the poor performance for proline, which exhibits a  $\overline{\Delta U}_{\text{reorg}}$  of 113.2 kJ mol<sup>-1</sup>. It is also remarkable with respect to the bond and angle terms, which actually stabilize the correct QM structure. This is evidenced by the negative contributions with -29% and -5%, respectively. On the other hand, the contribution from the van der Waals parameters is the highest in the whole data set with 74%. The same holds true for the electrostatic interactions with a contribution of 32%. This most likely reflects the united atom formalism, where the hydrogens and the carbon atoms of aliphatic groups are treated as a single particle. For practical applications in multi-scale simulations, the GROMOS force fields require a reliable mapping method to determine the missing hydrogen positions for the QM calculations. Finally, the OPLS-AA force field yields a  $\overline{\Delta U}_{\text{reorg}}$  of 35.5 kJ mol<sup>-1</sup>. In comparison to most other force fields, the bond, angle, dihedral and electrostatic charge parameters seem to be nearly perfect. There are only two major weaknesses. The contribution from the van der Waals interactions is the second-highest value in the data set with 62%, and the dihedral potentials lead a contribution of 18%.

Overall, it is remarkable to observe how the different parametrization philosophies of classic force fields have lead to distinctive characteristics in the contributions to the structural reorganization energies. However, the different force-fields also influenced each other substantially.<sup>4</sup> For example, OPLS used the same bonded parameters as AMBER, which is reflected in the comparable contributions to  $\overline{\Delta U}_{\text{reorg}}$ . On the other hand, many van der Waals parameters of OPLS were incorporated in AMBER ff94,<sup>47</sup> but yet the respective contributions in Table 2 are rather different (42% or 13.3 kJ mol<sup>-1</sup> for AMBER ff94, and 64% or 22.7 kJ mol<sup>-1</sup> for OPLS-AA). This is most likely a reflection of the influence of the other parameters (e.g., van der Waals parameters that were developed independently or the charge scheme).

### 4.3 Possible improvement strategies

One major improvement strategy for current force fields is the introduction of polarization.<sup>66,80–82</sup> Table 3 illustrates the effect of a naive use of the CHARMM Drude polarizable force field<sup>61,62,83</sup> on  $\overline{\Delta U}_{\text{reorg}}$  of blocked serine. The worst-performing force field of Table 2, CHARMM22, serves as a reference. Unfortunately, due to a mismatch of the bond-angle terms of the blocking groups, the Drude force field performs significantly worse than CHARMM22, yielding a  $\overline{\Delta U}_{\text{reorg}}$  of 126.1 kJ mol<sup>-1</sup>. The high average structural reorganization energy of the Drude force field shows that calculating at least one  $\Delta U_{\text{reorg}}$  relative to a QM-minimized structure is an efficient way to detect errors of a force field for a molecule of interest before performing a simulation. In this case, one can also take an MM-optimized structure and perform a QM energy optimization to determine the MM→QM  $\Delta U_{\text{reorg}}$ . For example, using the OM2 semi-empirical method<sup>64</sup> it takes nine seconds to calculate a MM→QM  $\Delta U_{\text{reorg}}$  of 100.4 kJ mol<sup>-1</sup>, which can serve as an indicator that the force field is probably not reliable for this particular molecule.

Coming back to the performance of the CHARMM Drude force field in Table 3, the improved electrostatic energy contribution (Elec = -3.9 kJ mol<sup>-1</sup> compared to +4.6 kJ mol<sup>-1</sup> with CHARMM22) shows that the inclusion of Drude particles does indeed improve the electrostatic representation compared to the additive force field. Also the bond (Bond = 3.6 kJ mol<sup>-1</sup> compared to 7.6 kJ mol<sup>-1</sup>) and dihedral parameters (Dihedral = 3.0 kJ mol<sup>-1</sup> compared to 7.4 kJ mol<sup>-1</sup>) of the Drude force field are superior to the CHARMM22 force field. However, since the angle and the van der Waals (vdW = 22.1 kJ mol<sup>-1</sup> compared to 11.4 kJ mol<sup>-1</sup>) contributions are significantly higher than in CHARMM22, the Drude force field performs worse. This indicates again that the van der Waals parameters are a major obstacle for reaching low structural reorganization energies.

A simple strategy to address the problem of mismatching bond and angle parameters is to populate the equilibrium values of the force field with the values from the QM-optimized structure. This can be achieved with the QMFIx command in CHARMM.<sup>63</sup> The performance of adjusting the bond length terms of the CHARMM22 force field (denoted as CHARMM22’) and adapting both the bond and angle parameters of the Drude force field (CHARMM-Drude”) are shown in the second row of Table 3. Merely adjusting the bond lengths reduces the  $\overline{\Delta U}_{\text{reorg}}$  of the CHARMM22 force field to 32.9 kJ mol<sup>-1</sup>. By using the same strategy for all considered amino acids, an average  $\overline{\Delta U}_{\text{reorg}}$  of 33.3 kJ mol<sup>-1</sup> is obtained. Thus, the performance of the tailored CHARMM22’ force field is comparable to the performance of the AMBER ff14SB force field. By adjusting both the bond and the angle terms, the  $\overline{\Delta U}_{\text{reorg}}$  of the CHARMM-Drude” force field for blocked serine drops to 39.4 kJ mol<sup>-1</sup>. This shows that the main source of discrepancies in this particular case were the angle parameters. However, the resulting performance of the Drude force field is comparable to the original CHARMM22 force field. The limiting

factors for the CHARMM-Drude” force field are the van der Waals parameters, the electrostatics, and the CMAP potential. The high contribution from the electrostatic terms shows that the improvement of some parameters can lead to a massive deterioration of the contributions from other energy parameters, because all energy terms are coupled to each other. Thus, there is no simple recipe to improve the parameters.

Table 3: Average structural reorganization energies  $\overline{\Delta U}_{\text{reorg}}$  for blocked serine in the gas phase with different possible improvement strategies. The  $\Delta U_{\text{reorg}}$  are relative to the MP2/cc-pVTZ-optimized structures of the YMPJ conformer database.<sup>53,54</sup> All values are in  $\text{kJ mol}^{-1}$ .

<b>CHARMM22</b>		<b>CHARMM-Drude</b>	
$\overline{\Delta U}_{\text{reorg}}$	39.5	$\overline{\Delta U}_{\text{reorg}}$	126.1
$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	42.0	$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	127.9
Bond <sup>a</sup>	7.6	Bond <sup>a</sup>	3.6
Angle <sup>b</sup>	3.4	Angle <sup>b</sup>	91.9
Dihedral <sup>c</sup>	7.4	Dihedral <sup>c</sup>	3.0
Impr <sup>d</sup>	5.1	Impr <sup>d</sup>	7.1
vdW <sup>e</sup>	11.4	vdW <sup>e</sup>	22.1
Elec <sup>f</sup>	4.6	Elec <sup>f</sup>	-3.9
		CMAP <sup>g</sup>	2.2
<b>CHARMM22’</b>		<b>CHARMM-Drude’’</b>	
$\overline{\Delta U}_{\text{reorg}}$	32.9	$\overline{\Delta U}_{\text{reorg}}$	39.4
$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	35.6	$\sqrt{\overline{\Delta U^2}_{\text{reorg}}}$	44.0
Bond <sup>a</sup>	-1.7	Bond <sup>a</sup>	-3.2
Angle <sup>b</sup>	4.4	Angle <sup>b</sup>	-3.2
Dihedral <sup>c</sup>	7.6	Dihedral <sup>c</sup>	4.6
Impr <sup>d</sup>	5.1	Impr <sup>d</sup>	7.1
vdW <sup>e</sup>	12.7	vdW <sup>e</sup>	22.3
Elec <sup>f</sup>	4.8	Elec <sup>f</sup>	14.7
		CMAP <sup>g</sup>	12.7

<sup>a</sup> Bond length force field parameters were adapted to the QM minimal energy structure with the QMFI command,<sup>63</sup> <sup>b</sup> Bond length and bond angle force field parameters were adapted to the QM minimal energy structure with the QMFI command,<sup>63</sup> <sup>a</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on mismatches of the bond lengths in  $\text{kJ mol}^{-1}$ , <sup>b</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on mismatches of the bond angles in  $\text{kJ mol}^{-1}$ , <sup>c</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on dihedral angles in  $\text{kJ mol}^{-1}$ , <sup>d</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on improper dihedrals in  $\text{kJ mol}^{-1}$ , <sup>e</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on Lennard-Jones interactions in  $\text{kJ mol}^{-1}$ , <sup>f</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on electrostatic interactions in  $\text{kJ mol}^{-1}$ , <sup>g</sup> Contributions to  $\overline{\Delta U}_{\text{reorg}}$  based on cross-term CMAP potential in  $\text{kJ mol}^{-1}$ .

## 5 Conclusions

The presented results demonstrate that none of the evaluated force fields adequately reproduces the QM energy minima of 14 blocked amino acids in the gas phase based on the MP2/cc-pVTZ level of theory. Some discrepancies are to be expected, as the biomolecular force fields are parametrized for aqueous solution. Therefore, some of the findings reflect the principal limitations of additive force fields, or were deliberately taken

into account during the parametrization process.<sup>84</sup> However, since the main difference between the gas phase and aqueous solution is the change of the electrostatic environment, this mainly entails some deviations due to the fixed point charges. The average structural reorganization energies over all considered energy minima reside in a range between 30.5 kJ mol<sup>-1</sup> and 40.5 kJ mol<sup>-1</sup>. Thus, no force field clearly outperforms the others. To put these numbers into perspective, one can also consider the average structural reorganization energy between BLYP/6-31G(d) and M06-2X/6-31G(d) for blocked alanine and serine, which amounts to 12.2 kJ mol<sup>-1</sup>. The discrepancies between MM and QM are approximately two to three times higher than the discrepancies between the two different QM methods. Since the variance of a free-energy extrapolation from MM to QM depends on the exponential of the structural reorganization energy (Equation 1), attempts to reweight from MM to QM will most likely lead to extremely poor precision.

In terms of  $\overline{\Delta U}_{\text{reorg}}$ , the GROMOS 54a7 force field performed best, followed by AMBER ff94, AMBER ff14SB, OPLS-AA, CHARMM36, and CHARMM22. However, all force fields exhibited different shortcomings. For example, the GROMOS 54a7 force field performs exceedingly well in terms of bonded terms, but exhibits large discrepancies due to its van der Waals interactions. The CHARMM force fields, on the other hand, perform well in terms of non-bonded interactions, but fail in terms of their bonded terms. The OPLS-AA force field is well balanced, except for its van der Waals parameters. The AMBER force fields exhibit a relatively low contribution from the van der Waals parameters, but at the cost of higher discrepancies due to the electrostatic charges. Overall, given the different parametrization strategies, an appropriately chosen consensus approach that combines simulations from multiple MM force fields might lead to improved results.

As illustrated for blocked alanine and serine, high structural reorganization energies are also yielded for the DFT methods BLYP/6-31G(d) and M06-2X/6-31G(d). Thus, this is not an artefact of the employed target QM method. While the inclusion of explicit solvent might decrease the deviations from the QM energy minima, the discrepancies for the CHARMM36 force field are still substantial with average structural reorganization energies between 17.1 and 28.2 kJ mol<sup>-1</sup>. A similar performance can be expected for other force fields, as the structural reorganization energies strongly depend on the QM method, phase, and conformational preferences.

The results further highlight that the use of MM force fields for sampling in multi-scale free-energy simulations requires a prior tuning of the parameters to the target QM Hamiltonian. Especially challenging are the van der Waals parameters, which account for 32 to 74% of the average structural reorganization energies. Dihedral ( 15 to 24%) and bonded terms ( -29 to +24% ) can also play a significant role in some force fields. Therefore, the employment of either tailored MM' fields<sup>63,75,76</sup>, or force fields that were completely derived from QM<sup>85-89</sup> will most likely play a more important role in the

future of multi-scale applications that combine MM with QM.

### 5.0.1 Acknowledgements

This work would not have been possible without the support and guidance by Walter Thiel, who recently passed away and, therefore, could not be included as an author. We dedicate this manuscript to his memory. G.K. would also like to especially thank Alexander Nikiforov for pointing out the YMPJ conformational data base, as well as Bernard R. Brooks, Pavlo O. Dral, Richard W. Pastor, Frank C. Pickard, and Yihan Shao for very helpful discussions. The authors also thank the anonymous reviewers for their input.

### 5.0.2 Authors' contributions

The work was performed by G.K. The data was analyzed by G.K. and S.R. The manuscript was prepared by G.K. and S.R.

### 5.0.3 Funding

This work was partially supported by the Max Planck Society. Parts of the research leading to these results received funding from the European Research Council through an ERC Advanced Grant (OMSQC).

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