

1 **THE OXYGEN UPTAKE EFFICIENCY SLOPE IS NOT A VALID SURROGATE OF**
2 **AEROBIC FITNESS IN CYSTIC FIBROSIS**

3

4 Craig A. Williams PhD ^{1,2}, Owen W. Tomlinson MSc ^{1,2}, Lucy V. Chubbock BSc ¹, Daniel
5 Stevens PhD ³, Zoe L. Saynor PhD ⁴, Patrick J. Oades MD ², Alan R. Barker PhD ¹

6

7 **AFFILIATIONS**

8 ¹ Children's Health and Exercise Research Centre, Sport and Health Science, University of
9 Exeter, Heavitree Road, Exeter, EX1 2LU, United Kingdom.

10 ² Paediatric Department, Royal Devon and Exeter NHS Foundation Trust Hospital, Barrack
11 Road, Exeter, EX2 5DW, United Kingdom.

12 ³ Department of Pediatrics, Division of Respiriology, Faculty of Medicine and School of Health
13 and Human Performance, Faculty of Health Professions, Dalhousie University, Stairs House,
14 6230 South St., PO BOX 15000, Halifax, NS B3H 4R2, Canada.

15 ⁴ Department of Sport and Exercise Science, Faculty of Science, University of Portsmouth,
16 Spinnaker Building, Cambridge Road, Portsmouth, PO1 2ER, United Kingdom.

17

18 **RUNNING TITLE:** Oxygen uptake efficiency in cystic fibrosis

19

20

21 **FUNDING INFORMATION**

22 Funding for original research was provided by the Royal Devon and Exeter NHS Foundation
23 Trust, Exeter. In addition, LV Chubbock was awarded a summer scholarship by the Cystic
24 Fibrosis Trust to undertake some of the data analysis for this study.

25

26 **CORRESPONDING AUTHOR:**

27 Professor Craig Williams

28 Children's Health and Exercise Research Centre,

29 Sport and Health Sciences,

30 College of Life and Environmental Sciences,

31 University of Exeter,

32 St Luke's Campus,

33 Exeter, EX1 2LU

34 UNITED KINGDOM

35 Tel: 44 (0)1392 724890

36 Email: c.a.williams@exeter.ac.uk

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51 **ABSTRACT**

52 *Background*

53 Maximal cardiopulmonary exercise testing is recommended on an annual basis for children
54 with cystic fibrosis (CF), due to a clinically useful prognostic information provided by maximal
55 oxygen uptake ($\dot{V}O_{2\max}$). However, not all patients are able, or willing, to reach $\dot{V}O_{2\max}$, and
56 therefore submaximal alternatives are required. This study explored the validity of the oxygen
57 uptake efficiency slope (OUES) as a submaximal measure of $\dot{V}O_{2\max}$ in children and
58 adolescents with CF.

59 *Methods*

60 Data were collated from 72 cardiopulmonary exercise tests (36 CF, 36 controls), with OUES
61 determined relative to maximal and submaximal parameters of exercise intensity, time and
62 individual metabolic thresholds. Pearson's correlation coefficients, independent t-tests and
63 factorial ANOVAs were used to determine validity.

64 *Results*

65 Significant ($p < 0.05$) correlations with $\dot{V}O_{2\max}$ were observed for most expressions of OUES,
66 but were consistently weaker in CF ($r = 0.30 - 0.47$) when compared to CON ($r = 0.58 - 0.89$).
67 Mean differences for all OUES parameters between groups were not significant ($p > 0.05$).
68 When split by $\dot{V}O_{2\max}$ tertiles, minimal significant differences were found between, and within,
69 groups for OUES, indicating poor discrimination of $\dot{V}O_{2\max}$.

70 *Conclusions*

71 The OUES is not a valid (sub)maximal measure of $\dot{V}O_{2\max}$ in children and adolescents with
72 mild-to-moderate CF. Clinicians should continue to use maximal markers (i.e. $\dot{V}O_{2\max}$) of
73 exercise capacity.

74

75

76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100

KEYWORDS

Oxygen uptake, exercise testing, adolescence, respiratory disease.

ABBREVIATIONS

Body surface area (BSA), cardiopulmonary exercise test (CPET), control (CON), cystic fibrosis (CF), effect size (ES), oxygen uptake efficiency slope (OUES), forced expiratory volume in one second (FEV₁), forced vital capacity (FVC), gas exchange threshold (GET), respiratory compensation point (RCP), time to exhaustion (TTE), maximal oxygen uptake (VO_{2max})

1. INTRODUCTION

Previous research indicates the benefit of high levels of cardiorespiratory fitness, as characterised by maximal oxygen uptake ($\dot{V}O_{2max}$), for young people with cystic fibrosis (CF). A high $\dot{V}O_{2max}$ is associated with an improved quality of life¹, reduced risk of hospitalisation for pulmonary exacerbations² and reduced mortality risk³. Consequently, individuals with CF are advised to increase their exercise and habitual physical activity levels, with regular maximal cardiopulmonary exercise testing (CPET) also recommended and endorsed by the European CF Society⁴ and European Respiratory Society, to monitor changes in their aerobic fitness status.

However, assessing $\dot{V}O_{2max}$ requires patients to provide a maximal physical effort and is thus considered an ‘effort dependent’ test. Motivation, discomfort, excessive dyspnoea, chronic fatigue and naivety towards protocols may make patients with CF more unwilling or unable to reach volitional exhaustion and their $\dot{V}O_{2max}$. Therefore, physiological markers of aerobic fitness that can be attained during submaximal regions of a CPET can be particularly useful⁵.

One such marker is the oxygen uptake efficiency slope (OUES), a submaximal, effort-independent parameter describing the relationship between $\dot{V}O_2$ and the common logarithm of minute ventilation (\dot{V}_E)⁶. Given the curvilinear relationship between ventilation and oxygen uptake during incremental exercise, it is difficult to model and therefore normalisation of ventilation (i.e. $\log \dot{V}_E$) allows for direct comparison between tests (and groups). A higher value for the OUES indicates a greater ventilatory efficiency. The OUES has been shown to significantly and positively correlate with $\dot{V}O_{2max}$ in healthy children⁷ and children with heart

125 disease ⁶, indicating its potential as a submaximal surrogate of aerobic fitness in paediatric
126 groups.

127

128 Despite OUES appearing to be a valid determinant of exercise tolerance in adults with CF ⁸,
129 evidence for its use in children and adolescents with CF requires further verification. Only one
130 study has previously sought to validate the OUES as an effort-independent marker of $\dot{V}O_{2max}$
131 in a paediatric population with mild-to-moderate CF ⁹. This study calculated OUES at 100%,
132 75% and 50% of the test duration and concluded it invalid, due to the observed moderate
133 positive correlations between the OUES and $\dot{V}O_{2max}$ ($r = 0.41 - 0.54$). Furthermore, despite
134 decreased $\dot{V}O_{2max}$ in children with CF, the OUES was unable to differentiate fitness status
135 between children with, and without CF; leading authors to conclude the invalidity of OUES in
136 this patient group. However, there are multiple methodological weaknesses to this study.
137 Firstly, utilising CPET time to exhaustion (TTE) as a measure of intensity may be flawed, as it
138 does not account for variances in individual metabolic thresholds. As the presence of reduced
139 maximal capacity ¹⁰ and an altered oxygen cost of exercise ¹¹ have been demonstrated in
140 individuals with CF, it is conceivable that patients in this previous study ⁹ may be exercising
141 at differing relative exercise intensities (i.e. as a percentage of $\dot{V}O_{2max}$), and even within
142 differing intensity domains, despite being matched for exercise duration. Secondly, there was
143 a lack of appropriate normalisation for the influence of body size, with authors utilising ratio-
144 standard scaling, whereas previous research has shown this to be insufficient at removing
145 residual effects of body size from OUES ¹².

146

147 Given aforementioned issues associated with previous research ⁹, OUES should instead be
148 assessed at individually determined parameters of relative exercise intensity ($\% \dot{V}O_{2max}$) and
149 domain thresholds, such as the gas exchange threshold (GET) and respiratory compensation

150 point (RCP) ¹³, alongside utilising allometric scaling protocols to ensure a size-free analysis of
151 OUES ¹².

152

153 Therefore, the purpose of this study was to examine correlates of allometrically-scaled OUES
154 with $\dot{V}O_{2max}$, and to systematically investigate differences in the OUES between children with
155 CF and healthy controls (CON) at appropriately matched parameters of relative exercise
156 intensity ($\% \dot{V}O_{2max}$), TTE and individual metabolic boundaries (GET and RCP). In addition,
157 the study will examine whether the OUES can differentiate between patients of differing
158 aerobic fitness statuses vs. healthy matched controls and, therefore, its suitability as a
159 submaximal surrogate for $\dot{V}O_{2max}$.

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175 2. MATERIALS AND METHODS

176

177 2.1 Participants

178 Data from 45 children and adolescents with CF were considered for inclusion in the current
179 retrospective analysis. Nine children were excluded due to inadequate data (insufficient, or
180 missing data, $n = 7$; insufficient test length, $n = 2$). Remaining data were subsequently age- and
181 gender-matched from existing exercise databases of healthy children, resulting in a final sample
182 of $n = 72$ (36 CF, 36 CON; 21 males per group; mean age 13.3 ± 2.8 years). All CON children
183 were screened for contraindications to exercise prior to CPET participation, including
184 pulmonary disorders and unstable co-morbid asthma.

185

186 As the study was a retrospective analysis of existing data, additional ethics approval was not
187 required. Ethics approval for data collected was originally approved by South West NHS
188 Research Ethics and local institutional ethics committees, whereby fully informed written
189 consent and assent were obtained from parents/guardians and paediatric participants,
190 respectively.

191

192 2.2 Data Collection

193 All participants undertook a CPET to volitional exhaustion on an electronically braked cycle
194 ergometer, to determine $\dot{V}O_{2\max}$ and submaximal measures of cardiorespiratory fitness. If
195 required by patients with CF, bronchodilators were administered prior to CPET. Pulmonary
196 function was assessed using a hand-held spirometer, with maximal values of forced expiratory
197 volume in one-second (FEV_1) and forced vital capacity (FVC) compared to normative values
198 ¹⁴⁻¹⁶. Pubertal status of children was determined as age from peak height velocity (aPHV), using
199 published equations ¹⁷.

200

201 *2.3 Data Analysis*

202 Pulmonary gas exchange and ventilation data were collected breath-by-breath, and
203 subsequently averaged to 10 second time intervals. Previously described techniques were
204 utilised to ascertain $\dot{V}O_{2\max}$ ¹⁸, GET and RCP¹³. To ascertain OUES values, linear regressions
205 were obtained between $\dot{V}O_2$ and the logarithmic transformation of \dot{V}_E ($\log \dot{V}_E$), using data up to
206 the following boundaries: 100%, 75% and 50% of TTE (100_{TTE} , 75_{TTE} , 50_{TTE}), 100%, 75%
207 and 50% of $\dot{V}O_{2\max}$ ($100_{\dot{V}O_{2\max}}$, $75_{\dot{V}O_{2\max}}$, $50_{\dot{V}O_{2\max}}$), GET and RCP. The time point of
208 100% $\dot{V}O_{2\max}$ also describes 100% TTE – providing eight OUES parameters per participant.

209

210 *2.4 Scaling of Data*

211 All OUES values were allometrically scaled to BSA¹⁹, in line with recent recommendations
212 ¹². An allometric model was applied to remove residual effects of body size, with OUES scaled
213 to BSA^{1.40}. $\dot{V}O_{2\max}$ was not scaled using allometric procedures as ratio-standard scaling
214 sufficiently removed residual effects of body size.

215

216 *2.5 Statistical Analyses*

217 Descriptive data are reported as mean (\pm standard deviation (SD)) unless otherwise stated.
218 Pearson's correlation coefficients were calculated between $\dot{V}O_{2\max}$ and each of the eight
219 normalised OUES values, to identify if the two variables are significantly related. Independent
220 samples *t*-tests were also performed to identify differences between CF and CON for all
221 variables, and identify the impact of disease status upon OUES. Finally, factorial ANOVAs
222 were conducted to identify the interaction between $\dot{V}O_{2\max}$ status, split by tertile³, and disease
223 status upon $\dot{V}O_{2\max}$ and OUES/BSA^{1.40}. Where main or interaction effects were found, pairwise
224 comparisons using Bonferroni corrections were applied to identify where relationships existed.

225 Statistical significance was set at an alpha of 0.05 and Cohen's thresholds are used to report
226 effect sizes (ES) and illustrate the magnitudes of the mean difference ²⁰.

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

247

248

249

250 3. RESULTS

251

252 3.1 Participant characteristics

253 Participant characteristics and mean differences between groups are presented in Table 1.
254 Significant differences were observed between CF and CON for pulmonary function and the
255 absolute $\dot{V}O_2$ at the GET.

256

257 3.2 Correlation between OUES and $\dot{V}O_{2max}$

258 All OUES/BSA^{1.40} variables significantly correlated with body mass relative $\dot{V}O_{2max}$, apart
259 from 50%_{TTE} within the CF group (Table 2).

260

261 3.3 Difference in OUES between CF and CON

262 Mean values for BSA corrected OUES values were lower, but not significantly, in CF
263 compared to CON at each threshold (50 $\dot{V}O_{2max}$: 923 ± 273 vs. 992 ± 290; 75 $\dot{V}O_{2max}$: 1088 ± 224
264 vs. 1153 ± 293; 50_{TTE}: 1019 ± 219 vs. 1091 ± 273; 75_{TTE}: 1101 ± 225 vs. 1182 ± 284; 100 $\dot{V}O_{2max}$
265 and 100_{TTE}: 1141 ± 257 vs. 1206 ± 267; GET: 958 ± 296 vs. 996 ± 361; RCP: 1148 ± 251 vs.
266 1189 ± 297; $p > 0.05$ for all comparisons (range = 0.18 – 0.63); units for all parameters: mL·min⁻¹·
267 logL⁻¹·m^{-2.8}). Figure 1 represents the data for OUES relative to BSA, according to categories
268 of duration, intensity and the metabolic thresholds.

269

270 3.4 OUES and fitness tertiles

271 When the data were split by tertiles according to $\dot{V}O_{2max}$ (Figure 2), a significant difference
272 was observed between tertiles within both CF (45.7 ± 4.8 vs. 38.0 ± 2.0 vs. 29.5 ± 4.6 mL·kg⁻¹·
273 min⁻¹, respectively) and CON (51.9 ± 5.6 vs. 38.9 ± 2.5 vs. 29.0 ± 6.3 mL·kg⁻¹·min⁻¹,
274 respectively) groups with regards to aerobic fitness ($p < 0.001$ for all pairwise comparisons, *ES*

275 = 2.07 – 3.84). However, there was only a significant difference in $\dot{V}O_{2\max}$ between CF and
276 CON in the highest aerobic fitness tertile ($p < 0.001$, $ES = 1.19$).

277

278 When split by $\dot{V}O_{2\max}$ tertiles, there was no significant difference in $OUES/BSA^{1.40}$ at
279 100%TTE ($p > 0.05$). In CF, at 100%TTE, $OUES/BSA^{1.40}$ was significantly higher in the
280 highest (1271 ± 241) relative to the lowest (1020 ± 281) fitness tertile ($p = 0.016$, $ES = 0.96$).
281 The middle tertile (1131 ± 198) was not significantly different between either the highest ($p =$
282 0.34 , $ES = 0.63$) or lowest tertile ($p = 0.62$, $ES = 0.46$). By comparison, in the CON group
283 significant differences were found between the highest (1441 ± 211) and lowest (957 ± 206 ; p
284 < 0.001 , $ES = 2.32$), between the middle (1219 ± 108) and the lowest ($p = 0.011$, $ES = 1.59$)
285 and middle and highest ($p = 0.041$, $ES = 1.32$; Figure 3) tertiles.

286

287 There was no significant difference in $OUES_{GET}/BSA^{1.40}$ between the groups ($p > 0.05$). When
288 $OUES_{GET}/BSA^{1.40}$ was split by aerobic fitness tertiles, a significant difference was only found
289 within the CON group between the highest (1221 ± 336) and lowest tertiles (798 ± 273 , $p =$
290 0.005 , $ES = 1.38$). The middle tertile (952 ± 356) was not significantly different to either the
291 highest ($p = 0.114$, $ES = 0.78$) or lowest tertile ($p = 0.712$, $ES = 0.49$). In the CF group, no
292 significant differences were found between any tertiles (highest: 1017 ± 273 ; middle: $1006 \pm$
293 324 ; lowest: 854 ± 290 , all $p > 0.61$, $ES = 0.04 - 0.58$). No significant differences between
294 groups were observed for each tertile (all $p > 0.11$, $ES = 0.16 - 0.64$; Figure 3).

295

296

297

298

299

4. DISCUSSION

The primary purpose of this study was to investigate the validity of the OUES as a submaximal alternative to $\dot{V}O_{2\max}$ in young people with CF – utilising a larger CF cohort than previous research^{9,21}. Specifically, we comprehensively compared differences in the OUES, when appropriately normalised for BSA¹², between children and adolescents with mild-to-moderate CF and their healthy peers, at parameters of time and relative exercise intensity. Although OUES was associated with $\dot{V}O_{2\max}$ in both CF and CON groups, coefficients were consistently smaller in CF. Despite differences in these correlations, statistically significant differences in OUES could not be found between groups, regardless of whether it was standardised to percentage of $\dot{V}O_{2\max}$, test duration or submaximal metabolic thresholds. Furthermore, OUES could not discriminate fitness status within, and between, groups. Taken collectively, these observations suggest OUES does not provide a valid surrogate of $\dot{V}O_{2\max}$ in children and adolescents with CF, supporting previous findings⁹.

In this present study, significant correlations were observed between body-mass relative $\dot{V}O_{2\max}$ and the majority of BSA corrected OUES thresholds, except at 50%_{TTE} in the CF group. The locations of significance are identical to the only previous OUES study in children with a similar severity of CF during incremental cycling exercise, with magnitudes of correlations in the CF and CON groups corroborating previous work⁹ as CON shows larger effect sizes ($r = 0.58 - 0.89$) in comparison to the medium effect sizes ($r = 0.30 - 0.47$) of the CF cohort. As the correlation coefficients in the CF groups suggest a shared variance (R^2) of between 9 and 22% (unlike 34 – 79% in CON), these results suggest that despite their association, OUES may not be a viable surrogate for $\dot{V}O_{2\max}$.

325 Despite positive correlations with $\dot{V}O_{2max}$, no mean differences in OUES were observed
326 between CF and CON at each parameter (of intensity, time and metabolic thresholds) – a
327 finding contrasting previous adult and paediatric studies assessing OUES in independent
328 groups ^{6-8,22,23}. However, it could be argued that since a significantly lower $\dot{V}O_{2max}$ was not
329 observed in CF versus CON in the present study, in contrast to previous findings ^{10,21}, a
330 recruitment bias may be present. The lack of differences between groups may be due to
331 deconditioning of control participants (as opposed to increased fitness in CF), with $\dot{V}O_{2max}$
332 being 10 ml·kg⁻¹·min⁻¹ lower in the current study, when compared to previous research ⁹.
333 Consequently, it would also be expected that no differences in OUES would be observed.
334 However, factorial ANOVAs sought to identify the sensitivity of the OUES measurement in
335 discriminating between children of differing fitness. As the OUES supposedly represents
336 $\dot{V}O_{2max}$ when maximal exercise efforts cannot be reached ⁶, it is assumed that the OUES should
337 follow a similar profiling pattern to $\dot{V}O_{2max}$ and differentiate between patients of differing
338 clinical and aerobic fitness states.

339

340 When data were categorised into fitness based upon aerobic fitness tertiles, a division shown
341 to predict mortality in CF ³, a significant difference in $\dot{V}O_{2max}$ was clearly evident both within
342 and between the groups, but the former was only seen at the highest fitness level. This
343 observation identifies that differences in aerobic fitness ($\dot{V}O_{2max}$) can be isolated within
344 children with CF. However, when represented as aerobic fitness tertiles, differences in the
345 OUES and OUES_{GET} (Figure 3) were not clearly defined, with a difference only evident
346 between high-fit and low-fit children and adolescents with CF for OUES at 100%TTE. In
347 contrast, better discriminatory sensitivity was evident in the CON group, showing differences
348 in OUES between all tertiles for aerobic fitness. Thus, even though some discriminatory power
349 may be evident between children and adolescents with CF for high and low aerobic fitness, this

350 was only found for OUES at 100% TTE. This suggests that to isolate individuals of differing
351 fitness status, a measurement of OUES would need to be taken at maximal exercise, as opposed
352 to a submaximal parameter which can be identified in real-time during a CPET, such as the
353 GET (characterised by a disproportionate increase in $\dot{V}CO_2$ relative to $\dot{V}O_2$). However, if
354 participants would be required to reach volitional maximum to produce a maximal OUES
355 value, clinicians would benefit from utilising $\dot{V}O_{2max}$ as opposed to OUES from peak exercise.

356

357 Since the purpose of the OUES is to provide a measure that is useful in lower functioning
358 patients, i.e. those unable/unwilling to reach volitional exhaustion, differentiation between
359 these patients is a key requisite of this CPET parameter, especially at submaximal thresholds.
360 Unfortunately, this study demonstrates that the OUES does not provide such sensitivity in
361 children and adolescents with CF. Therefore, despite the OUES showing potential as a clinical
362 outcome in other paediatric cohorts^{6,23}, its use as a surrogate of $\dot{V}O_{2max}$ in children and
363 adolescents with CF is doubtful.

364

365 Previous studies have assessed the validity of the OUES in clinical populations, such as
366 congestive heart failure²⁴ and congenital heart disease²⁵, finding it, to an extent, to be a
367 suitable, effort-independent, parameter of aerobic fitness. Moreover, two previous studies have
368 assessed the applicability of the OUES in individuals with CF. One, conducted in 31 adults and
369 34 healthy controls, concluded that OUES at 80% of test duration is a valid predictor of
370 maximal aerobic fitness, due to high correlation ($r = 0.91$) with VO_{2peak} – and therefore may
371 be a clinically useful submaximal exercise parameter⁸. In addition, Bongers *et al.*⁹ sought to
372 validate the OUES at 50%, 75% and 100% of test duration in 22 children and adolescents with
373 CF and 22 healthy controls. In contrast to earlier findings in adults, it was concluded to be an
374 invalid measure, due to limited distinguishing properties and moderate correlations with

375 $\dot{V}O_{2max}$. However, previous studies have analysed OUES at submaximal parameters of time,
376 without attempts to standardise and individualise exercise intensity, meaning participants may
377 be exercising in differing metabolic domains, despite matching for exercise duration. Hence,
378 the current study accounted for these factors, by analysing OUES at submaximal parameters of
379 intensity, time and individual metabolic thresholds. Furthermore, the groups in the existing
380 paediatric study ⁹ were poorly matched, with a significant difference in age evident between
381 children with CF and healthy counterparts. As previous work has identified age- and sex-
382 related differences in the OUES ⁷, this may have inadvertently affected results. In addition,
383 inappropriate ratio-standard scaling methods were utilised, whereas previous research has
384 shown that allometric procedures are required to remove residual effects of body size from
385 OUES ¹². In order to solely isolate the effects of disease status, the current study deliberately
386 age- and gender-matched participants, utilising allometric scaling to ensure all influencing
387 factors were controlled for.

388

389 Given that the OUES is physiologically dependent on metabolic CO₂ production ($\dot{V}CO_2$) and
390 the ratio of pulmonary dead space to tidal volume (V_D/V_T) ⁶, it is prudent to examine which
391 factors are altered in CF which may account for its weaker relationship with $\dot{V}O_{2max}$ compared
392 to their healthy counterparts. Whilst a reduced $\dot{V}O_{2max}$ has been reported in children with CF
393 ^{10,21}, no differences exist between CF and CON for the percentage of $\dot{V}O_{2max}$ at which GET (an
394 indication of the onset of metabolic acidosis ¹³) occurs ^{9,10,21,26}, suggesting metabolic
395 development of CO₂ is not impaired in CF, and it may be the V_D/V_T ratio responsible for
396 reduced OUES – a suggestion proposed, and supported by, previous research ⁹. Given the
397 progressive decline in lung function with age in CF, due to bronchiectasis and airway
398 obstruction ²⁷, such pulmonary impairments may contribute towards elevated dead space
399 ventilation in CF ²⁸, thus impacting upon OUES. As this decline in lung function is observed

400 with age ²⁹, this may account for the discrepancy observed between the current research and
401 previous OUES analyses in adults with CF ⁸. Furthermore, given that the majority of patients
402 in this study had mild-to-moderate CF (FEV₁ > 70% predicted in 31/36 patients), it is unclear
403 if the OUES will display a differing profile in patients with severe CF (FEV₁ < 40% predicted).

404

405 In conclusion, the OUES is not a valid submaximal surrogate of aerobic fitness in children and
406 adolescents with CF. This research subsequently provides clinical teams with the clear
407 evidence that only maximal markers of prognostic value (i.e. $\dot{V}O_{2max}$) should continue to be
408 measured in patients with CF. Furthermore, continued research is required to identify
409 submaximal variables that may hold clinical utility in this patient population when unable or
410 unwilling to exercise to volitional exhaustion.

411

412 **ACKNOWLEDGEMENTS**

413 The authors would like to thank the patients and staff at the Royal Devon & Exeter NHS
414 Foundation Trust Hospital. Additional appreciation is given to Mr James Shelley and Dr Emma
415 Cockcroft for assistance with data collection.

416

417 **CONFLICT OF INTEREST**

418 The authors declare they have no conflict of interest.

419

420

421

422

423

424

425 **REFERENCES**

- 426 1. Hebestreit H, Schmid K, Kieser S, Junge S, Ballmann M, Roth K, Hebestreit A, Schenk
427 T, Schindler C, Posselt HG, Kriemler S. Quality of life is associated with physical
428 activity and fitness in cystic fibrosis. *BMC pulmonary medicine* 2014;14:26.
- 429 2. Pérez M, Groeneveld IF, Santana-Sosa E, Fiuza-Luces C, Gonzalez-Saiz L, Villa-
430 Asensi JR, López-Mojares LM, Rubio M, Lucia A. Aerobic fitness is associated with
431 lower risk of hospitalization in children with cystic fibrosis. *Pediatric Pulmonology*
432 2014;49(7):641-649.
- 433 3. Pianosi P, LeBlanc J, Almudevar A. Peak oxygen uptake and mortality in children with
434 cystic fibrosis. *Thorax* 2005;60(1):50-54.
- 435 4. Hebestreit H, Arets HG, Aurora P, Boas S, Cerny F, Hulzebos EH, Karila C, Lands LC,
436 Lowman JD, Swisher A, Urquhart DS, European Cystic Fibrosis Exercise Working
437 Group. Statement on Exercise Testing in Cystic Fibrosis. *Respiration* 2015;90(4):332-
438 351.
- 439 5. Williams CA, Saynor ZL, Tomlinson OW, Barker AR. Cystic fibrosis and
440 physiological responses to exercise. *Expert review of respiratory medicine*
441 2014;8(6):751-762.
- 442 6. Baba R, Nagashima M, Goto M, Nagano Y, Yokota M, Tauchi N, Nishibata K. Oxygen
443 uptake efficiency slope: A new index of cardiorespiratory functional reserve derived
444 from the relation between oxygen uptake and minute ventilation during incremental
445 exercise. *Journal of the American College of Cardiology* 1996;28(6):1567-1572.
- 446 7. Marinov B, Mandadzhieva S, Kostianev S. Oxygen-uptake efficiency slope in healthy
447 7- to 18-year-old children. *Pediatric Exercise Science* 2007;19(2):159-170.

- 448 8. Gruet M, Brisswalter J, Mely L, Vallier JM. Clinical utility of the oxygen uptake
449 efficiency slope in cystic fibrosis patients. *Journal of Cystic Fibrosis* 2010;9(5):307-
450 313.
- 451 9. Bongers BC, Hulzebos EHJ, Arets BGM, Takken T. Validity of the Oxygen Uptake
452 Efficiency Slope in Children With Cystic Fibrosis and Mild-to-Moderate Airflow
453 Obstruction. *Pediatric Exercise Science* 2012;24(1):129-141.
- 454 10. Saynor ZL, Barker AR, Oades PJ, Williams CA. Impaired aerobic function in patients
455 with cystic fibrosis during ramp exercise. *Medicine and Science in Sports and Exercise*
456 2014;46(12):2271-2278.
- 457 11. Moser C, Tirakitsoontorn P, Nussbaum E, Newcomb R, Cooper DM. Muscle size and
458 cardiorespiratory response to exercise in cystic fibrosis. *American Journal of*
459 *Respiratory and Critical Care Medicine* 2000;162(5):1823-1827.
- 460 12. Tomlinson OW, Barker AR, Oades PJ, Williams CA. Scaling the Oxygen Uptake
461 Efficiency Slope for Body Size in Cystic Fibrosis. *Medicine and Science in Sports and*
462 *Exercise* 2017.
- 463 13. Beaver WL, Wasserman K, Whipp BJ. A new method for detecting anaerobic threshold
464 by gas-exchange. *Journal of Applied Physiology* 1986;60(6):2020-2027.
- 465 14. Quanjer PH, Stanojevic S, Cole TJ, Baur X, Hall GL, Culver BH, Enright PL,
466 Hankinson JL, Ip MS, Zheng J, Stocks J, Initiative ERSGLF. Multi-ethnic reference
467 values for spirometry for the 3-95-yr age range: the global lung function 2012
468 equations. *European Respiratory Journal* 2012;40(6):1324-1343.
- 469 15. Quanjer PH, Tammeling GJ, Cotes JE, Pedersen OF, Peslin R, Yernault JC. Lung
470 volumes and forced ventilatory flows. *European Respiratory Journal* 1993;6 Suppl
471 16:5-40.

- 472 16. Zapletal A, Samanek M, Paul T. Lung function in children and adolescents. Methods,
473 reference values. In: Zapletal A, editor. Progress in Respiration Research. Volume 22.
474 Basel, Switzerland: Karger Publishers; 1987. p 114-116.
- 475 17. Moore SA, McKay HA, Macdonald H, Nettlefold L, Baxter-Jones AD, Cameron N,
476 Brasher PM. Enhancing a Somatic Maturity Prediction Model. *Medicine and Science
477 in Sports and Exercise* 2015;47(8):1755-1764.
- 478 18. Barker AR, Williams CA, Jones AM, Armstrong N. Establishing maximal oxygen
479 uptake in young people during a ramp cycle test to exhaustion. *British Journal of Sports
480 Medicine* 2011;45(6):498-503.
- 481 19. Haycock GB, Schwartz GJ, Wisotsky DH. Geometric method for measuring body
482 surface area: A height-weight formula validated in infants, children, and adults. *The
483 Journal of Pediatrics* 1978;93(1):62-66.
- 484 20. Cohen J. A power primer. *Psychological Bulletin* 1992;112(1):155-159.
- 485 21. Bongers BC, Werkman MS, Takken T, Hulzebos EH. Ventilatory response to exercise
486 in adolescents with cystic fibrosis and mild-to-moderate airway obstruction.
487 *SpringerPlus* 2014;3:696.
- 488 22. Akkerman M, Van Brussel M, Bongers BC, Hulzebos EH, Helders PJ, Takken T.
489 Oxygen Uptake Efficiency Slope in Healthy Children. *Pediatric Exercise Science*
490 2010;22(3):431-441.
- 491 23. Drinkard B, Roberts MD, Ranzenhofer LM, Han JC, Yanoff LB, Merke DP, Savastano
492 DM, Brady S, Yanovski JA. Oxygen-uptake efficiency slope as a determinant of fitness
493 in overweight adolescents. *Medicine and Science in Sports and Exercise*
494 2007;39(10):1811-1816.

- 495 24. Hollenberg M, Tager IB. Oxygen uptake efficiency slope: an index of exercise
496 performance and cardiopulmonary reserve requiring only submaximal exercise. Journal
497 of the American College of Cardiology 2000;36(1):194-201.
- 498 25. Bongers BC, Hulzebos HJ, Blank AC, van Brussel M, Takken T. The oxygen uptake
499 efficiency slope in children with congenital heart disease: construct and group validity.
500 European Journal of Cardiovascular Prevention and Rehabilitation 2011;18(3):384-
501 392.
- 502 26. Saynor ZL, Barker AR, Oades PJ, Williams CA. Impaired Pulmonary VO₂ Kinetics in
503 Cystic Fibrosis Depend on Exercise Intensity. Medicine and Science in Sports and
504 Exercise 2016;48(11):2090-2099.
- 505 27. Elborn JS. Cystic fibrosis. The Lancet 2016;388(10059):2519-2531.
- 506 28. Thin AG, Dodd JD, Gallagher CG, Fitzgerald MX, McLoughlin P. Effect of respiratory
507 rate on airway deadspace ventilation during exercise in cystic fibrosis. Respiratory
508 Medicine 2004;98(11):1063-1070.
- 509 29. Harun SN, Wainwright C, Klein K, Hennig S. A systematic review of studies examining
510 the rate of lung function decline in patients with cystic fibrosis. Paediatric Respiratory
511 Reviews 2016;20:55-66.

512

513

514

515

516

517

518

519

520 **IMAGE LEGENDS**

521

522 **Figure 1.** Comparison of OUES/BSA^{1.40} values between children and adolescents with CF
523 (black bars) and healthy age- and gender-matched controls (white bars) at different exercise
524 thresholds.

525

526 **Figure 2.** Comparison of $\dot{V}O_{2max}$, split by $\dot{V}O_{2max}$ tertile (black bars = highest tertile, white
527 bars = middle tertile, grey bars = lowest tertile), within the CF and healthy control groups.

528 * Significant ($p < 0.01$) difference from highest tertile. † Significant ($p < 0.01$) difference from
529 middle tertile. § Significant ($p < 0.05$) difference between groups.

530

531 **Figure 3.** Comparison of OUES/BSA^{1.40} at 100% TTE and OUES_{GET}/BSA^{1.40} split by $\dot{V}O_{2max}$
532 tertile (black bars = highest tertile, white bars = middle tertile, grey bars = lowest tertile), within
533 the CF and healthy control groups. * Significant ($p < 0.05$) difference from highest tertile.

534 † Significant ($p < 0.05$) difference from middle tertiles.

535

536

537

538

539

540

541

542

543

544

545 **Table 1.** Anthropometric, pulmonary function and exercise-related differences between CF and
 546 CON groups.

Variable	CF	CON	<i>p</i> value	Effect Size
Stature (cm)	155.6 (13.5)	159.1 (15.2)	0.32	0.24
Body mass (kg)	50.15 (15.46)	51.15 (14.49)	0.78	0.07
BMI (kg·m ⁻²)	20.28 (3.67)	19.91 (4.18)	0.70	0.09
BSA (m ²)	1.46 (0.28)	1.49 (0.28)	0.65	0.11
aPHV	0.27 (2.70)	0.65 (2.44)	0.89	0.15
FEV ₁ (L)*	2.46 (0.97)	2.96 (0.86)	0.07	0.53
FEV ₁ (% Predicted)*	88.0 (19.6)	101.9 (12.2)	0.002	0.79
FVC (L)*	3.10 (1.14)	3.44 (1.02)	0.30	0.31
FVC (% Predicted)*	94.8 (15.9)	100.2 (12.5)	0.21	0.36
$\dot{V}O_{2max}$ (L·min ⁻¹)	1.74 (0.57)	2.03 (0.88)	0.093	0.39
$\dot{V}O_{2max}$ (mL·kg ⁻¹ ·min ⁻¹)	37.74 (7.74)	39.93 (10.70)	0.32	0.23
GET (L·min ⁻¹)	0.91 (0.28)	1.12 (0.54)	0.035	0.49
GET (% $\dot{V}O_{2max}$)	53.4 (9.3)	55.0 (8.0)	0.42	0.18
HR _{max} (beats·min ⁻¹)	182 (8)	185 (14)	0.30	0.26
\dot{V}_{Emax} (L·min ⁻¹)	74.66 (35.62)	69.18 (33.45)	0.50	0.16
RER _{max}	1.27 (0.23)	1.21 (0.13)	0.22	0.32

547 Measures are presented as mean (\pm SD). Significant mean differences are denoted by a bolded

548 *p* value. * Unequal groups for pulmonary volumes (CF, *n* = 36; CON, *n* = 18).

549 BMI: body mass index; BSA, body surface area; aPHV, age from peak height velocity; FEV₁,

550 forced expiratory volume in one second; FVC, forced vital capacity; $\dot{V}O_{2max}$, maximal oxygen

551 uptake; GET, gas exchange threshold; HR, heart rate; \dot{V}_E , minute ventilation; RER,

552 respiratory exchange ratio.

553 **Table 2.** Correlations at different thresholds between parameters of oxygen uptake and
 554 ventilatory efficiency and $\dot{V}O_{2max}$ relative to body mass.

Oxygen Uptake Parameter	CF	CON
OUES/BSA ^{1.40} @ 50% $\dot{V}O_{2max}$	0.36 (0.040)	0.75 (< 0.001)
OUES/BSA ^{1.40} @ 50% TTE	0.30 (0.071)	0.76 (< 0.001)
OUES/BSA ^{1.40} @ 75% $\dot{V}O_{2max}$	0.33 (0.049)	0.85 (< 0.001)
OUES/BSA ^{1.40} @ 75% TTE	0.38 (0.023)	0.87 (< 0.001)
OUES/BSA ^{1.40} @ 100% $\dot{V}O_{2max}$ & TTE	0.47 (0.004)	0.89 (< 0.001)
OUES/BSA ^{1.40} @ GET	0.35 (0.042)	0.58 (< 0.001)
OUES/BSA ^{1.40} @ RCP	0.45 (0.007)	0.88 (< 0.001)

555 Values are presented as correlation coefficients (*r*) with *p* values in parentheses.

556

557

558

559

560

561

562

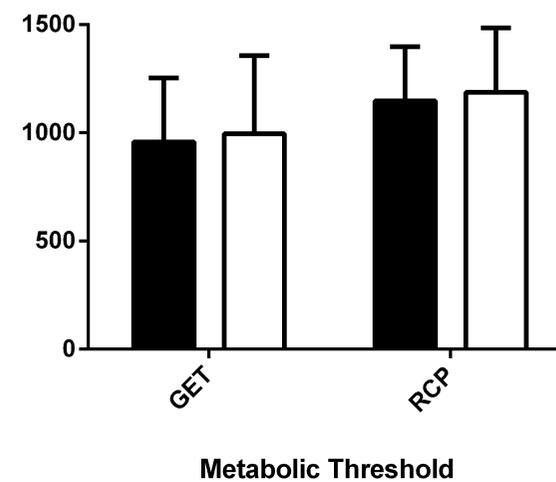
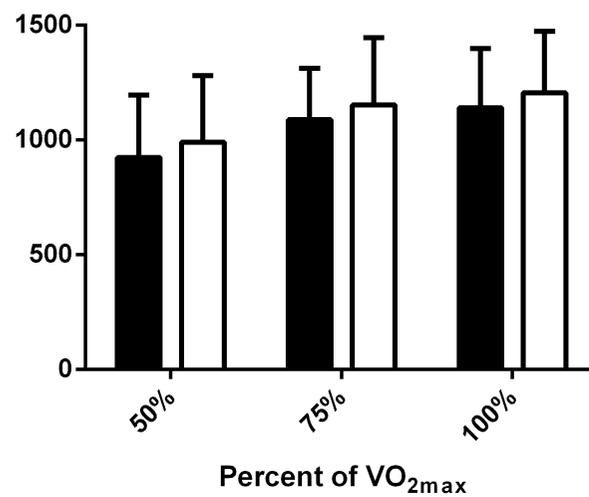
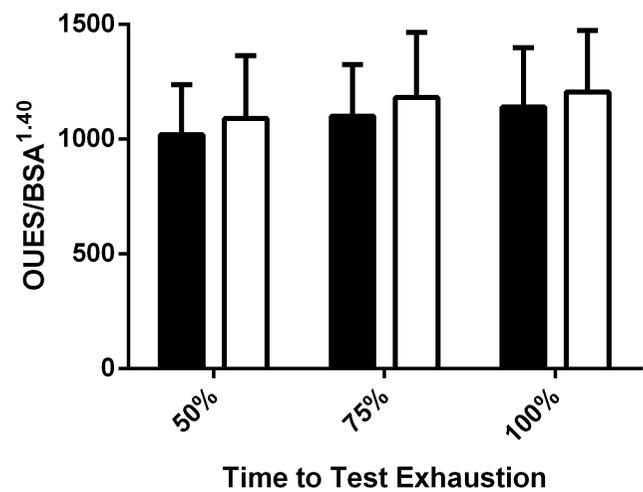
563

564

565

566

567



568

569

570

571

572

573

574

